



# MiFID II transaction reporting

## Deutsche Börse's regulatory reporting solutions

The revisions to the Markets in Financial Instruments Directive and the associated Regulation (MiFID II/ MiFIR) will bring challenges to firms' established operational processes when reporting commences 3 January 2018. MiFID II transaction reporting as part of Deutsche Börse Group's (DBG) multi-regulatory reporting solutions helps clients to meet their reporting requirements under Art. 26 MiFIR.

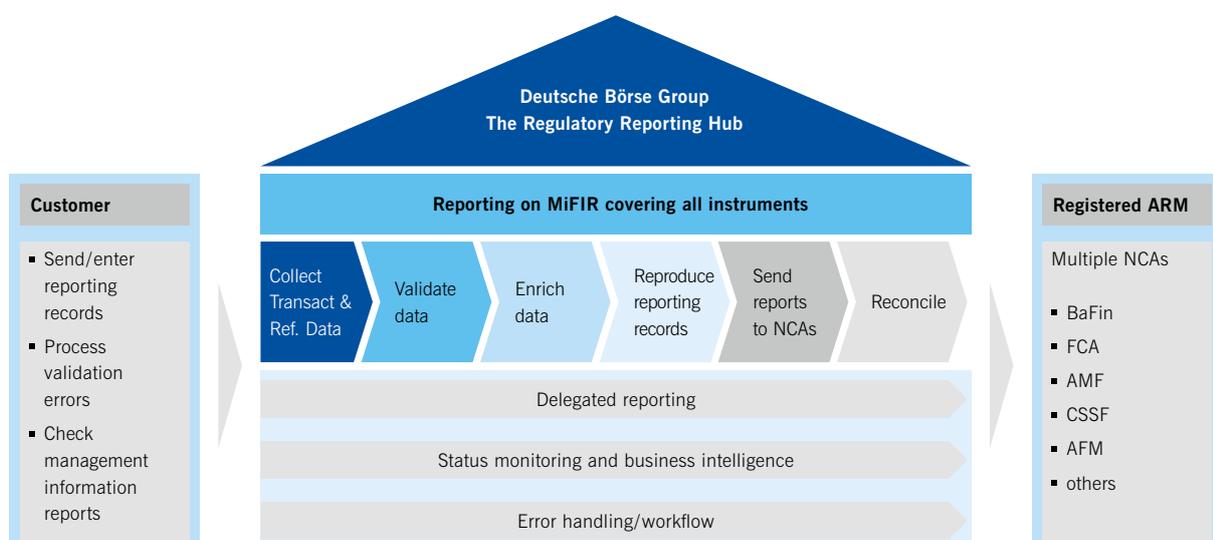
Deutsche Börse's Regulatory Reporting Hub incorporates high-performance solutions for global regulatory compliance onto one platform, making compliance easier and faster to achieve.

### Your requirements

New reporting solutions will need to be integrated platforms that support clients in achieving compliance across multiple regulations. Therefore, we address your requirements:

- ARM<sup>1)</sup> status
- Multi-NCA<sup>2)</sup> connectivity
- Extensive validations of reporting records and reconciliations
- Delegated reporting
- Status monitoring and business intelligence

### Deutsche Börse Group's transaction reporting offering



1) Approved Reporting Mechanism 2) National Competent Authority

## Our services

### ARM status

Deutsche Börse Group has been offering reporting services for almost 20 years, including extensive regulatory reporting in Germany, France and the UK. Leveraging from our experience Deutsche Börse AG will become an ARM (Approved Reporting Mechanism) connecting to all relevant NCAs across Europe.

### Multi-NCA compliance

Deutsche Börse Group's regulatory core competence ensures

- Multi-NCA compliance, covering multiple regulations and jurisdictions to enable the move to a one-stop regulatory reporting hub
- Expertise in supporting frequent regulatory changes

### Extensive validation including reconciliation

Validation goes beyond basic correctness. Your data will be validated against the latest data sources, reducing the risk of incorrect, late or duplicate reporting. Deutsche Börse provides several cross-checks:

- Reference data (counterparty, instrument and market data)
- Validation of field format, value range and field dependencies
- Exchange data
- APA<sup>3)</sup> data
- Transaction reports of counterparty
- Unusual patterns with alert warnings
- Data duplication

### Data enrichment

Deutsche Börse Group uses multiple external and internal sources to ensure comprehensive reference data. In particular, trade and reference data of our trade venues belonging to Deutsche Börse Group will ensure enrichment of each record.

### Delegated reporting

Deutsche Börse Group assists clients who want to report on behalf of their customers. Information and access ownership can be managed directly by the client. Via a direct access to Deutsche Börse Group's

Regulatory Reporting Hub our client's customers can complete reporting records with additional confidential data. The data will remain private to that customer.

### Additional product features

Deutsche Börse Group supports clients with

- Comprehensive and detailed audit trail – an automated and customised track of records
- Regulatory business intelligence – anonymous benchmark report against peers to identify process optimisation needs at an early stage

### Your benefits

- Joint development and customisation of features via Deutsche Börse Group's pilot programme
- Integrated, highly-efficient 'STP'<sup>4)</sup> reporting
- A high-quality and eminent performance infrastructure
- Improved productivity and data quality
- Reduction of risks (e.g. sanctions)
- Competitive pricing and low implementation cost
- Supports customer groups of all sizes and segments, whether modular service solutions or the full service range are needed

### Your regulatory reporting services from a single source

Deutsche Börse's one-stop Regulatory Reporting Hub can help increase efficiency and reduce risks. Our clients have access to extensive regulatory expertise of a trading venue, a CCP, an RRM<sup>5)</sup> and a TR<sup>6)</sup>.

A strong team of industry and regulatory experts has been built to bring you insights into leading practices when it comes to managing regulatory compliance:

- MiFID II transaction reporting
- MiFID II OTC trade reporting
- MiFID II SI Service
- EMIR reporting
- REMIT reporting
- Upcoming SFTR reporting and others

### Contact

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