



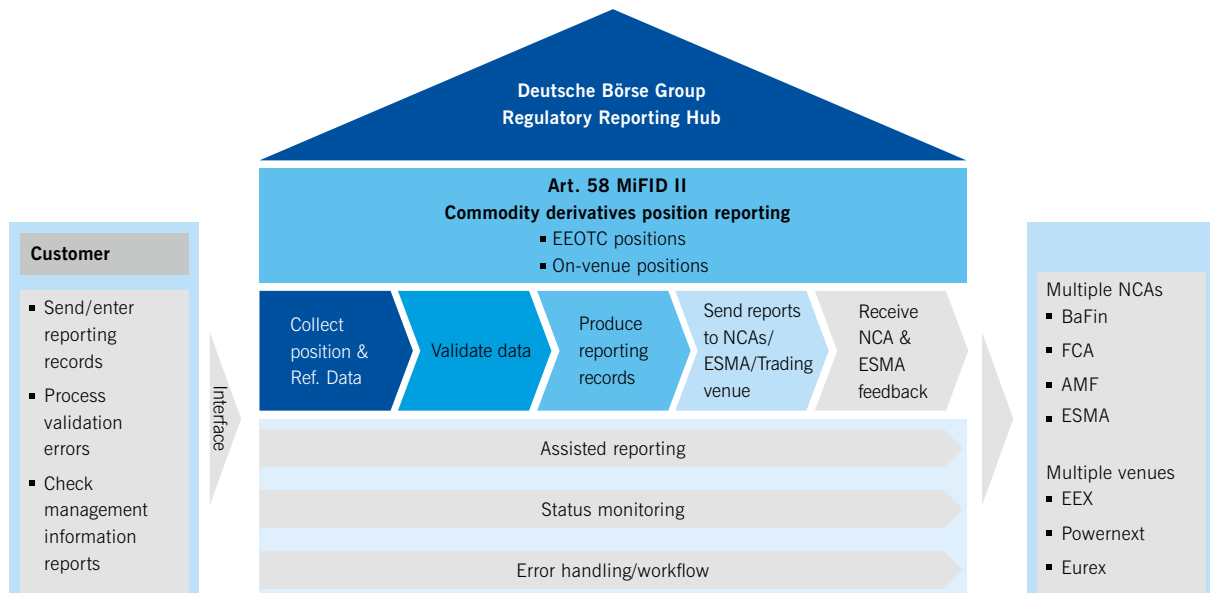
MiFID II Commodity derivatives position reporting

Article 58 – EEOTC and on-venue position reporting

Pursuant to article 58 MiFID II, investment firms are obliged to provide NCAs with a complete breakdown of positions in commodity derivatives, emission allowances and derivatives thereof (in the following referred to as “commodity derivatives”) traded outside a trading venue at least on a daily basis, which are either considered economic equivalent or identical to

contracts listed on a trading venue (“EEOTC” positions). Furthermore, exchange members are required according to article 58 MiFID II to provide position breakdown data on commodity derivatives traded at that venue to the respective market operator. In both scenarios, the details must include a breakdown until the end client is reached.

Deutsche Börse Group’s MiFID II Commodity derivatives position reporting



Our services

Deutsche Börse's Regulatory Reporting Hub provides you with a comprehensive solution to meet your regulatory reporting obligations under MiFID II by offering one central interface, through which the on-venue and EEOC positions can be submitted. This interface is oriented on the agreed FIA¹⁾ industry standard interface specification.

Data validation and status reports

The position data sent by the user to the Regulatory Reporting Hub is validated with respect to authorization, formatting, mandatory field, duplicate and reference data errors. After a successful validation the reporting records are produced and sent to the respective venue (on-venue positions) and the respective NCA (BaFin/AMF/FCA). Feedback on validations and from NCAs is provided continuously through status reports (including error description).

Fully assisted reporting

Furthermore, fully assisted reporting is offered, i.e. reports can be submitted on behalf of the entity subject to the reporting obligation. In this case, the entity with the reporting obligation will not need to access the system.

Your benefits

- Meet your regulatory commodity derivative position reporting requirements through one single interface in the standard industry format FIA for all positions reportable to
 - EEX, Powernext, Eurex
 - BaFin, AMF, FCA, ESMA
- Lower connectivity and test investments as well as lower maintenance cost through multi-venue and multi-NCA connectivity
- Integrated, highly-efficient STP²⁾ reporting, integrated into the reliable transaction reporting architecture of the Regulatory Reporting Hub, including archiving (5 years)
- Reduction of risks (e.g. sanctions) through validations on your input

- Rely on Deutsche Börse Group's regulatory experience as trading venue, regulated market, CCP, CSD³⁾ and a TR⁴⁾ in managing and supporting regulatory change
- ARM⁵⁾ and APA⁶⁾ status as well as reporting into all relevant NCAs
- Competitive pricing, e.g. reporting of on-venue positions not charged at all

Your regulatory reporting services from a single source

A strong team of industry and regulatory experts has been built to bring you insights into leading practices when it comes to managing regulatory compliance:

- MiFIR Transaction reporting service
- MiFID II OTC trade reporting
- MiFID II SI services
- MiFID II Best execution reporting services
- EMIR reporting
- SFTR reporting and other regulations

Contact

Deutsche Börse Group
 regulatory.services@deutsche-boerse.com
 www.deutsche-boerse.com/regulatory-services